

Customer Identification Program Notice

Effective Date:
January, 1, 2025

BLV Securities maintains comprehensive policies and procedures to ensure compliance with federal laws and regulations concerning money laundering and terrorist financing prevention, including the USA PATRIOT Act. These requirements form a critical part of our customer identification program and our broader commitment to protecting the integrity of the financial system.

Required Information When establishing a new account, we are required to collect:

- Full legal name
- Permanent residential address (no P.O. boxes)
- Date of birth
- Social Security number or Tax Identification Number
- Valid government-issued photo identification

For non-individual accounts (corporations, partnerships, trusts), we require:

- Legal entity documentation
- Formation documents
- Tax identification number
- Information about beneficial owners
- Control person documentation

Documentary Verification We may request to examine:

- Driver's license
- Passport
- State-issued identification card
- Articles of incorporation
- Partnership agreements
- Trust instruments
- Other relevant documentation to verify identity

Non-Documentary Verification We may also:

- Verify information through credit bureaus
- Check public databases
- Contact other financial institutions
- Verify employment
- Request references

Important Notes:

1. Account opening may be delayed or rejected if required information is not provided
2. We may request additional documentation for enhanced due diligence
3. All information collected is protected under our privacy policy
4. We are required to maintain records of the information used to verify identity
5. Certain transactions may be restricted until verification is complete

BLV Securities is committed to protecting our clients while meeting our regulatory obligations. We appreciate your cooperation in providing accurate information and documentation when requested. If you have questions about our identification requirements, please contact your financial advisor or our compliance department.

This notice is provided pursuant to Section 326 of the USA PATRIOT Act and 31 CFR § 1023.220.

Contact Us

For any questions or concerns regarding how our services are provided or if you need further information, please contact your representative or reach out to us at:

Main Office Phone: (551) 866-0525

Email: admin@blvsecurities.com

Website: www.blvsecurities.com